Northern Sierra Air Quality Management District

Julie Hunter, Air Pollution Control Officer

DISTRICT HEADQUARTERS
380 Sierra College Drive, Suite 220
Grass Valley, CA 95945
(530) 274-9360 / FAX: (530) 274-7546
www.nsaqmdca.gov

NORTHERN FIELD OFFICE
257 E. Sierra, Unit E
Mailing Address: P.O. Box 2227
Portola, CA 96122
(530) 832-0102 / FAX: (530) 832-0101

UPSET / BREAKDOWN REPORT

Check the Breakdown Reason:	Report Type:	Affirmative Defense:
☐ Shutdown	☐ Intial	☐ Yes
☐ Start-up	☐ Follow-up	☐ No
☐ Variance	☐ Overall amendment	

This form can be used to file the initial report of an equipment breakdown, and as the follow-up report for both a breakdown and/or variance from a Federal Title V permit condition.

The required reports must be submitted to the District email, office@nsaqmdca.gov as follows:

- Breakdown follow-up reports no later than 10 days after returning to compliance
- Variance reports no later than 10 days after discovery

Facility Name:_____

Breakdown – Initial Notification:

Reported by: _____ Date: _____ Time: _____

Reported to: ☐ District Staff (*Call at (530) 274-9360 & press 0 within 2 hours*)

☐ Voicemail

1. Equipment involved :_____
2. Cause of Breakdown:_____
3. Pollutant Emitted: _____ How much: _____
4. Did the upset / breakdown result in an opacity greater than 20% for any length of time?

   Yes – Total minutes:_____; Average %:_____; Highest %:_____;

   No
5. Corrective Action Taken:_____

_____

_____

6. Preventative measures implemented to prevent future occurrence:_____

_____

7. Did anyone from the public call to complain about emissions resulting from this breakdown? Yes / No

8. If Yes, what were the details of their complaint?_____

CERTIFICATION: I declare, under penalty of perjury under the laws of the state of California, that based on information and belief formed after reasonable inquiry, all information provided in this report is true, accurate, and addresses all deviations that resulted from this event:

Signature of Responsible Official	Date
Name of Responsible Official	Telephone
Title of Responsible Official	Email

NOTE: A breakdown condition shall not persist longer than 48 hours, except for continuous emissions monitoring equipment for which the period shall not exceed 96 hours, unless the owner or operator has obtained an emergency variance. An upset / breakdown is defined as: An unforeseeable failure or malfunction of (1) any air pollution control equipment or related operating equipment which causes a violation of any emission limitation or restriction prescribed by the Rules and Regulations of the Northern Sierra Air Quality Management District , or by state law, or (2) any in-stack Continuous Monitoring equipment, where such failure or malfunction is: (a) not the result of neglect or disregard of any air pollution control law or rule or regulation; (b) not intentional or the result of negligence; (c) not the result of improper maintenance; (d) does not constitute a nuisance; or is (e) not a recurrent breakdown of the same equipment.

For Office Use Only

Reviewed by:_____Date:_____

Final Disposition:_____

(*Rev 09/2026)*